

71479 U.S. PTO



02/28/97

59087 U.S. PTO

08/807567



02/28/97

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PATENT

Docket No. 277301

Box Patent Application
Assistant Commissioner for Patents
Washington, D.C. 20231

#4 Pre Amendment
J. J. Hayn 3/16/98

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of

Inventor: PETROCY, RICHARD J.

For: SELF-ADDRESSING CONTROL UNITS AND MODULAR SIGN
INCLUDING PLURALITY OF SELF-ADDRESSING CONTROL
UNITS

1. Type of Application

This new application is for a(n):

☒ Original

☐ Design

☐ Plant

☐ Divisional

☐ Continuation

☐ Continuation-in-part (CIP)

2. Benefit of Prior U.S. Application(s) (35 USC 120)

☐ The new application being transmitted claims the benefit of prior U.S. application(s) and enclosed are added pages for new application transmittal where benefit of prior U.S. application(s) claimed.

3. Papers Enclosed Which Are Required for Filing Date Under 37 CFR 1.53(b) (Regular) or 37 CFR 1.153 (Design) Application

22 Pages of specification

3 Pages of claims

2 Pages of Abstract

20 Sheets of drawing

☐ formal

☒ informal

4. Additional papers enclosed

☐ Preliminary Amendment

☐ Information Disclosure Statement

☐ Form PTO-1449

08807567-022897

- ☐ Citations
☐ Declaration of Biological Deposit
☐ Submission of "Sequence Listing"
☐ Authorization of Attorney(s) to Accept and Follow
Instructions from Representative
☐ Special Comments
☐ Other

5. Declaration or Oath

- ☐ Enclosed
executed by
☐ inventor(s).
☐ legal representative of inventor(s). 37 CFR
1.42 or 1.43
☐ joint inventor or person showing a proprietary interest on
behalf of inventor who refused to sign or cannot be reached.
☒ Not Enclosed.

6. Inventorship Statement

The inventorship for all the claims in this application are:

- ☒ The same
or
☐ Are not the same. An explanation, including the ownership of the various
claims at the time the last claimed invention was made,
☐ is submitted.
☐ will be submitted.

7. Language

- ☒ English
☐ non-English
☐ the attached translation is a verified translation. 37 CFR 1.52(d).

8. Assignment

- ☐ An assignment of the invention to _____

☐ is attached.
☐ will follow.

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9. Certified Copy

Certified copy(ies) of application(s)

_____ from which priority is claimed

_____ is(are) attached. A separate "Assignment cover letter accompanying new patent application" is also attached.

_____ will follow.

10. Fee Calculation (37 CFR 1.16)

A. ☒ Regular application

		CLAIMS AS FILED				
	<u>Number filed</u>		<u>Number Extra</u>	<u>Rate</u>	<u>Basic Fee</u>	
Total	18	-20=	0	X	\$22.00	\$770.00
Claims 37 CFR 1.16(c)						
Independent	3	- 3=	0	X	\$80.00	\$
Claims (37 CFR 1.16(b))						
Multiple dependent claim(s)			0	X	\$200.00	\$
if any (37 CFR 1.16(d))						
_____ Amendment canceling extra claims enclosed.						
_____ Amendment deleting multiple-dependencies enclosed.						
_____ Fee for extra claims is not being paid at this time.						

Filing Fee Calculation \$ 770.00

B. ☐ Design application

Filing Fee Calculation \$ _____

C. ☐ Plant application

Filing Fee Calculation \$ _____

11. Small Entity Statement(s)

_____ Verified Statement(s) that this is a filing by a small entity under 37 CFR 1.9 and 1.27 is(are) attached.

Filing Fee Calculation (50%)

\$ 385.00

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12. Request for International-Type Search (37 CFR 1.104(d))

____ Please prepare an international-type search report for this application at the time when national examination on the merits takes place.

13. Fee Payment Being Made At This Time

☒ Not Enclosed

☒ No filing fee is to be paid at this time.

____ Enclosed

____ basic filing fee \$ _____

____ recording assignment \$ _____

____ petition fee for filing by other
than all the inventors or person
on behalf of the inventor where
inventor refused to sign or cannot
be reached. \$ _____

____ for processing an application with
a specification in a non-English
language. \$ _____

____ processing and retention fee \$ _____

____ fee for international-type search report \$ _____

Total enclosed fees \$ _____

14. Method of Payment of Fees

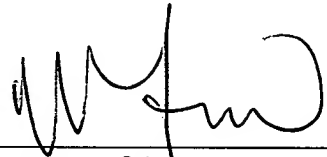
____ Check in the amount of \$ _____.

15. Instructions as to Overpayment

____ Refund.

Reg. No. 33,884

Tel. No. (201) 842-0800



Signature of Attorney

Michael R. Friscia
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16. Relate Back - 35 U.S.C. 120

X Amend the Specification by inserting before the first line the sentence:

____ continuation

X continuation-in-part

divisional

of copending provision application(s)

X serial number 60/012,565 filed on February 29, 1996

X serial number 60/012,545 filed on February 29, 1996

X serial number 60/012,541 filed on February 29, 1996

17. Further Inventorship Statement Where Benefit of Prior Application(s) Claimed

a. This application discloses and claims only subject matter disclosed in the prior application whose particulars are set out above and the inventor(s) in this application are:

X the same

 less than those named in the prior application

and it is requested that the following inventor(s) identified for the prior application be deleted:

b. This application discloses and claims additional disclosure by amendment and a new declaration or oath is being filed. With respect to the prior application the inventor(s) in this application are:

the same

_____ the following additional inventor(s) have been

added:

c. The inventorship for all the claims in this application are:

X the same

not the same, and an explanation, including the ownership of the various claims at the time the last claimed invention was made

is submitted

will be submitted.

18. Notification in Parent Application of this Filing

— A notification of the filing of this

continuation

continuation-in-part

divisional

is being filed in the parent application from which this application claims priority under 35 USC 120.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner of Patents and Trademarks
Washington, D.C. 20231

Re: Our file: 277301

Applicants: Richard J. Petrocy

Serial No.:

Filing Date:

Title: SELF-ADDRESSING CONTROL UNITS AND MODULAR SIGN INCLUDING
PLURALITY OF SELF-ADDRESSING CONTROL UNITS

Office:

Examiner:

Sir:

Enclosed for filing in the United States Patent and Trademark Office is the following:


1. New Appln. Transmittal
2. Patent Appln. (Pages 27)
3. Appendices A, B, C
3. Drawings (Pages 20)
4. Postcard

CONDITIONAL PETITION

If any extension of time is required for the submission of the above-identified items, Applicant requests that this be considered a petition therefor.

2-28-97
Date

Respectfully submitted,


Michael R. Friscia
Registration No. 33,884
Friscia & Nussbaum
405 Murray Hill Parkway
East Rutherford, NJ 07073

enc.

I hereby certify that this correspondence is being deposited with the United States Postal Service, postage prepaid, as "Express Mail Post Office to Addressee," Mailing Label No. EM511536759 to The Commissioner of Patents and Trademarks, Washington, D.C. 20231 on 2-28-97.

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By: 